

PTO/SB/21 (08-00)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/699,466
	Filing Date	October 31, 2000
	First Named Inventor	Shunpei YAMAZAKI et al.
	Group Art Unit	2891
	Examiner Name	A. Sarkar
Total Number of Pages in This Submission	Attorney Docket Number	0756-2222

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures 1. 2. 3. 4. 5. 6.
Remarks <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. for the above identified docket number.		

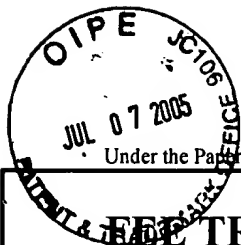
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	July 5, 2005

CERTIFICATE OF MAILING

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Type or printed name	Adele M Stanper		
Signature	Adele M Stanper	Date	July 5, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**TRANSMITTAL
FOR FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	09/699,466
Filing Date	October 31, 2000
First Named Inventor	Shunpei YAMAZAKI et al.
Examiner Name	A. Sarkar
Group Art Unit	2891
Attorney Docket No.	0756-2222

METHOD OF PAYMENT

- 1.
- ☐
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- Charge Any Additional Fee Required
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- Under 37 CFR 1.16 and 1.17 and
-
- credit overpayments

☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 300	2001 150	Utility filing fee	
1111 500	2111 250	Search fee	
1311 200	2311 275	Examination fee	
Over 100 Sheets/250 for each additional 50			

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/> -41** = <input type="text"/> X \$50 = \$			
Independent Claims <input type="text"/> -12** = <input type="text"/> X \$200 = \$			
Multiple Dependent <input type="text"/> = <input type="text"/>			

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1202 50	2202 25	Claims in excess of 20	
1201 200	2201 100	Independent claims in excess of 3	
1203 360	2203 180	Multiple dependent claim, if not paid	
1204 200	2204 100	** Reissue independent claims over original patent	
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65		Surcharge - late filing fee or oath	
1052 50	2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130		Non-English specification	
1812 2,520	1812 2,520		For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*		Requesting publication of SIR after Examiner action	
1251 120	2251 60		Extension for reply within first month	
1252 450	2252 225		Extension for reply within second month	
1253 1020	2253 510		Extension for reply within third month	
1254 1,590	2254 795		Extension for reply within fourth month	
1255 2,160	2255 1080		Extension for reply within fifth month	
1401 500	2401 250		Notice of Appeal	
1402 500	2402 250		Filing a brief in support of an appeal	
1403 1000	2403 500		Request for oral hearing	
1451 1,510	1451 1,510		Petition to institute a public use proceeding	
1452 500	2452 250-		Petition to revive - unavoidable	
1453 1,500	2453 750		Petition to revive - unintentional	
1501 1,400	2501 700		Utility issue fee (or reissue)	
1502 800	2502 400		Design issue fee	
1503 1100	2503 550		Plant issue fee	
1462 400	1462 400		Petitions, Group I	
1463 200	1463 200		Petition, Group II	
1464 130	1464 130		Petitions, Group III	
1807 50	1807 50		Processing fee under 37 CR 1.17(q)	
1806 180	1806 180		Submission of Information Disclosure Stmt	\$180.00
8021 40	8021 40		Recording each patent assignment per property (times number of properties)	
1809 790	2809 395		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 790	2810 395		For each additional invention to be examined (37 CFR § 1.29(b))	
1801 790	2801 395		Request for Continued Examination (RCE)	
1802 900	1802 900		Request for expedited examination of a design application	
Other fee (specify) _____				

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

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SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone	(571) 434-6789
Signature				Date	July 5, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Patent Application of) Art Unit: 2891
Shunpei YAMAZAKI et al.) Examiner: A Sakar
Serial No. 09/699,466)
Filed: October 31, 2000)
For: METHOD FOR FABRICATING A)
SEMICONDUCTOR DEVICE)

CERTIFICATE OF MAILING

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Adeline M. Stampfer

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

U.S. Patent No. 6,541,795 and U.S. Patent No. 6,337,232 are in the family of U.S. Patent No. 5,940,690.

U.S. Patent No. 6,323,071; U.S. Patent No. 6,338,991; and U.S. Patent No. 6,413,805 are in the family of 5,888,857.

U.S. Patent No. 6,077,758 and U.S. Patent No. 6,455,401 are in the family of U.S. Patent No. 6,071,764.

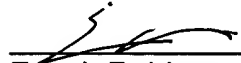
A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

A duplicate copy of this sheet is attached.

Respectfully submitted,



Eric J. Robinson

Reg. No. 38,285

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(571) 434-6789



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/699,466
				Filing Date	October 31, 2000
				First Named Inventor	Shunpei YAMAZAKI et al.
				Group Art Unit	2829
				Examiner Name	A. Sarkar
Sheet	1	of	1	Attorney Docket Number	0756-2222

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,940,690		Kusumoto et al.	08/17/1999	
		6,541,795		Kusumoto et al.	04/01/2003	
		6,337,232		Kusumoto et al.	01/08/2002	
		5,888,857		Zhang et al.	03/30/1999	
		6,323,071		Zhang et al.	11/27/2001	
		6,338,991		Zhang et al.	01/15/2002	
		6,413,805		Zhang et al.	07/02/2002	
		6,071,764		Zhang et al.	06/06/2000	
		6,077,758		Zhang et al.	06/20/2000	
		6,455,401		Zhang et al.	09/24/2002	
		6,478,263		Yamazaki et al.	11/12/2002	
		5,869,363		Yamazaki et al.	02/09/1999	
		6,194,254		Takemura	02/27/2001	
		5,300,187		Lesk et al.	04/05/1994	

FOREIGN PATENT DOCUMENTS								
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.